

PATENT APPLICATION SERIAL NO. 09/914917

U.S. DEPARTMENT OF COMMERCE  
PATENT AND TRADEMARK OFFICE  
FEE RECORD SHEET

09/11/2001 SMAJARRD 00000014 09914917

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U.S. DEPARTMENT OF COMMERCE, PATENT AND TRADEMARK OFFICE

TRANSMITTAL LETTER TO THE UNITED STATES  
DESIGNATED/ELECTED OFFICE (DO/EO/US)  
CONCERNING A FILING UNDER 35 U.S.C. 371

ATTORNEY'S DOCKET NUMBER  
032301W196

U.S. APPLICATION NO. (if known,  
see 37 CFR 1.55) **09/914917**

INTERNATIONAL APPLICATION NO.  
PCT/IT00/00076

INTERNATIONAL FILING DATE  
8 March 2000

PRIORITY DATE CLAIMED  
8 March 1999

TITLE OF INVENTION  
SOL-GEL PROCESS FOR THE PRODUCTION OF MANUFACTURES CONTAINING AN INCOMPRESSIBLE INSERT AND MANUFACTURES  
THEREBY OBTAINED

APPLICANT(S) FOR DO/EO/US --- Fulvio Costa, et al.

Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:

1. ☒ This is a FIRST submission of items concerning a filing under 35 U.S.C. 371.
  2. ☐ This is a SECOND or SUBSEQUENT submission of items concerning a filing under 35 U.S.C. 371.
  3. ☒ This express request to begin national examination procedures (35 U.S.C. 371(f) at any time rather than delay examination until the expiration of the applicable time limit set in 35 U.S.C. 371(b) and PCT Articles 22 and 39(I).
  4. ☒ A proper Demand for International Preliminary Examination was made by the 19th month from the earliest claimed priority date.
  5. ☒ A copy of the International Application as filed (35 U.S.C. 371(c)(2))
    - a. ☐ is transmitted herewith (required only if not transmitted by the International Bureau)
    - b. ☒ has also been transmitted by the International Bureau (See Form 308).
    - c. ☐ is not required, as the application was filed in the United States Receiving Office (RO/US).
  6. ☐ A translation of the International Application into English (35 U.S.C. 371(c)(2))
    - a. ☐ Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3)).
      - a. ☐ are transmitted herewith (required only if not transmitted by the International Bureau)
      - b. ☐ have been transmitted by the International Bureau.
      - c. ☐ have not been made; however, the time limit for making such amendments has NOT expired.
      - d. ☒ have not been made and will not be made.
  8. ☐ A translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)).
  9. ☒ An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)). (unsigned)
  10. ☐ A translation of the annexes to the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)).
- Items 11. to 16. below concern other document(s) or information included:
11. ☐ A Supplement to an earlier filed Information Disclosure Statement under 37 CFR 1.97 and 1.98.
  12. ☒ An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.
  13. ☒ A FIRST preliminary amendment.
    - ☐ A SECOND or SUBSEQUENT preliminary amendment.
  14. ☐ A substitute specification.
  15. ☐ A change of power of attorney and/or address letter.
  16. ☒ Other items or information:
    - (A) PCT/IB/308
    - (B) PCT Request (PCT/RO/101)
    - (C) Notification of the Recording of a Change (PCT/IB/306)
    - (D) Notification of Transmittal of the International Preliminary Examination Report (Form PCT/IPEA/410)
    - (E) International Preliminary Examination Report (Form PCT/IPEA/409)
    - (F) WO 00/53536 with International Search Report (PCT/ISA/210)
    - (G) Information Disclosure Statement, PTO Form 1449 and cited references

TRANSMITTAL LETTER TO THE UNITED STATES  
DESIGNATED/ELECTED OFFICE (DO/EO/US)  
CONCERNING A FILING UNDER 35 U.S.C. 371U.S. APPLICATION NO. of PCT No. **09/914917**  
37 CFR 1.53

## 17. ■ The following fees are submitted:

CALCULATION PTO USE ONLY

**Basic National Fee (37 CFR 1.492(a)(1)-(5)):**

Search Report has been prepared by the EPO or JPO ..... \$860.00  
 International preliminary examination fee paid to USPTO (37 CFR 1.482) ..... \$670.00  
 No international preliminary examination fee paid to USPTO (37 CFR 1.482) but international search fee  
 paid to USPTO (37 CFR 1.445(a)(2)) ..... \$760.00  
 Neither international preliminary examination fee (37 CFR 1.482) nor  
 international search fee (37 CFR 1.445(a)(2)) paid to USPTO ..... \$970.00  
 International preliminary examination fee paid to USPTO (37 CFR 1.482)  
 and all claims satisfied provisions of PCT Article 33(2)-(4) ..... \$96.00

ENTER APPROPRIATE BASIC FEE AMOUNT =

\$ 860.00

Surcharge of \$130.00 for furnishing the oath or declaration later than ☐ 20 ☐ 30 months from the earliest  
 claimed priority date (37 CFR 1.495(e)).

\$ 0

Claims	Number Filed	Number Extra	Rate		
Total Claims	12- 20 =	-	x \$18.00	\$ -	
Independent Claims	1 - 3 =	-	x \$78.00	\$ -	
Multiple dependent claim(s) (if applicable)			+ \$260.00	-	
<b>TOTAL OF ABOVE CALCULATIONS =</b>				\$ 860.00	
Reduction by ½ for filing by small entity, if applicable. Verified Small Entity statement must also be filed. (Note 37 CFR 1.9, 1.27, 1.28).				\$ .00	
<b>SUBTOTAL =</b>				\$ 860.00	
Processing fee of \$130.00 for furnishing the English translation later than <input type="checkbox"/> 20 <input type="checkbox"/> 30 months from the earliest claimed priority date (37 CFR 1.492(f)).				\$ -	
<b>TOTAL NATIONAL FEE =</b>				\$ 860.00	
Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40.00 per property.				\$ 40.00	
<b>TOTAL FEES ENCLOSED =</b>				\$ 900.00	
				Amount to be refunded	\$
				charged	\$

- a. ■ A check in the amount of \$ 900.00 to cover the above fees is enclosed.  
 b. ☐ Please charge my Deposit Account No. 02-4300 in the amount of \$\_\_\_\_\_ to cover the above fees. A duplicate copy of this sheet is enclosed.  
 c. ■ The Commissioner is hereby authorized to charge any additional fees which may be required with respect to this national stage filing, or credit any  
 overpayment to Deposit Account No. 02-4300.

**NOTE:** Where an appropriate time limit under 37 CFR 1.494 or 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed  
 and granted to restore the application to pending status.

SEND ALL CORRESPONDENCE TO:

SMITH, GAMBRELL & RUSSELL, LLP  
 The Beveridge, DeGrandi, Weilacher & Young Intellectual Property Group  
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 Washington, DC 20036

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SIGNATURE

Robert G. Weilacher  
 NAME

REGISTRATION NO.

Date: September 6, 2001